

Financial Services Guide

Natixis Investment Managers Pty Limited AFSL: 246830

Issued: 1 September 2023

This Financial Services Guide (FSG) is an important document which we are required to give to you under the requirements of our Australian Financial Services Licence and the Corporations Act.

This FSG provides you with information about:

- Natixis Investment Managers Australia Pty Limited ABN 60 088 786 289 ('NIMA', 'we', 'our' or 'us') to help you decide whether to use the financial services we provide.
- the types of services and products we can offer to you;
- how we (and other relevant persons) are remunerated for these services; and
- includes details of our internal and external complaints handling procedures and how you can access them.

Who are we?

NIMA is part of the BPCE Groupe of companies, a France based global financial services entity. Furthermore, NIMA is the Australian based subsidiary of Natixis Investment Management, a multi-affiliate investment management entity.

NIMA is a Sydney and Melbourne based wealth management company which promotes and distributes a range of Australian equity funds and Global equity funds of an affiliated entity, Investors Mutual Limited (IML). IML is a related body corporate entity and is majority owned (75.93%) by Natixis Investment Management with the remaining shares held by IML staff.

IML acts as responsible entity for a number of registered managed investment schemes in Australia that offer investments to retail investors.

IML holds Australian Financial Services Licence (AFSL) No. 229988.

To invest in any of the IML registered managed investment schemes you must complete the application

form that accompanies the relevant offer document, being a Product Disclosure Statement (PDS) and Investment Guide (IG), where relevant, along with the Target Market Determination (TMD). The PDS and the IG, which forms part of the PDS where applicable, contain information about the particular product and will assist you in making an informed decision about that product. The TMD is a document which describes who a product is most likely appropriate for.

The PDS, IG & TMD are available at the IML website. www.iml.com.au.

The PDS, IG & TMD for the Vaughan Nelson Global Equity SMID Fund is available on the website. www.vaughannelson.com.au.

The PDS, IG & TMD for the Loomis Sayles Global Equity Fund is available on the website. www.loomissayles.com.au.

Neither NIMA, nor IML provide personal financial product advice. Personal financial product advice is advice that takes into account one or more of your objectives, financial situation and needs.

How to contact us?

You can contact us by:

Telephone: (02) 8224 2900 (9-5pm, Sydney)

Facsimile: (02) 8224 2910

Email: ClientGroupAUNZ@natixis.com

Website: www.im.natixis.com/au

Or you can write to us at, Suite 24.02, Level 24, Governor Phillip Tower, 1 Farrer Place, Sydney NSW 2000

What financial services and products are we authorised to provide?

NIMA is authorised to offer the following financial services:

- provide general financial product advice on financial products to retail clients.

We do not provide financial planning services and only give general financial product advice in limited situations.

Do you have a complaint?

If you have a complaint about NIMA, the first step is to contact us directly. The NIMA Complaints Officer will ensure all enquiries and complaints are properly considered and dealt with.

If, however, you are not satisfied with the outcome after discussing the complaint with us, you may contact the Australian Financial Complaints Authority (AFCA).

AFCA is an independent external dispute resolution body specialising in resolving disputes in the financial services industry.

The contact details for AFCA are:

Australian Financial Complaints
Authority

Telephone 1 800 931 678

Email: info@afca.org.au

Website: www.afca.org.au

How are we paid for the services we provide?

NIMA are remunerated for the services provided to and on behalf of IML in accordance with a distribution agreement. NIMA does not receive and commissions in relation to these services.

Employees of NIMA do not charge fees or receive any commission or specific payments for providing general financial product advice. The directors, employees and officers of NIMA receive salaries, bonuses and benefits from NIMA.

You may request particulars of the remuneration and other benefits that we receive in relation our services provided. We will respond to your request within a reasonable time after you are given this FSG, and before you are provided with any financial service described in this FSG.

Professional Indemnity Insurance

NIMA maintains professional indemnity insurance in accordance with the requirements of its AFSL and the Corporations Act 2001 (Cth).

NIMA's professional indemnity insurance covers work conducted by its employees and officers during their period of employment, even if they cease to be employed by us. It does not cover work conducted by representatives and employees after they cease to be employed by us.

Privacy

At NIMA the privacy of your personal information is important to us. If you would like a copy of our Privacy Policy, please contact us or visit our website.

This FSG is current as of 1 September 2023.